Office: 202-384-1520 · Website: www.wmsc.gov

WMSC Commissioner Brief: W-0260 Derailment - Near Potomac Yard Station - April 11, 2023

Prepared for Washington Metrorail Safety Commission meeting on March 5, 2024

Safety event summary:

A roadway maintenance machine (RMM) being operated from a long-term shutdown area that included the Yellow Line bridge and tunnel between Pentagon and L'Enfant Plaza stations derailed on April 11, 2023 as the Equipment Operator moved the vehicle through a curve approaching Potomac Yard Station. The intended destination was the Alexandria Rail Yard.

The investigation identified that required bolts were not in place on the rear right wheel chassis (used for both road and rail travel), and that the hi-rail gear was incorrectly deployed with the left rear gear not fully deployed (the left and right hi-rail wheels extend and are designed to be locked in place separately). Hi-rail gear includes the flanged metal wheels that make contact with the running rails to allow rubber-tired vehicles to operate on rails as well as over the road. The left side wheel not being fully deployed resulted in a weight imbalance that likely contributed to the derailment.

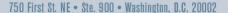
At the time of this derailment, Metrorail had closed the Yellow Line bridge and tunnel connecting L'Enfant Plaza Station to Pentagon Station for extended repairs. As part of this closure, Metrorail had designated the area an "Authorized Construction Site." Metrorail states that it transfers responsibility for safety to contractors in such a location. As this relates to Roadway Worker Protection, this is under review as part of the Roadway Worker Protection Audit (draft report being finalized).

The rail vehicle, an Aspen Aerial hi-rail truck with a boom crane primarily used to lift people around and under bridges to conduct inspections and repairs, was on the rails in the shutdown area when the Metrorail Equipment Operator arrived. The investigation determined that the vehicle had not been operated for an extended period of time, and had remained in the position on the rails. This was not communicated to the Equipment Operator. The Equipment Operator did not observe any deficiencies prior to operating the vehicle, and received the required permissions from a Rail Operations Control Center Rail Traffic Controller to move the vehicle from the shutdown area toward Braddock Road Station. In the area of Potomac Yard Station, the vehicle derailed. The Equipment Operator was operating in reverse (cab at the trailing end). The hi rail gear at the leading end in the direction of travel (rear of the vehicle) climbed and derailed to the field side.

At the time of the derailment, the vehicle was travelling approximately 14 mph, within the 15 mph limit. The Equipment Operator stated in an investigative interview that these units tend to jerk and shake while travelling through curves. The Equipment Operator regularly operates this type of vehicle for use during bridge inspections.

The Equipment Operator did not immediately realize that the unit had derailed. After identifying the derailment, the Equipment Operator reported the derailment by phone.

Investigation on scene showed that the hi rail gear was not fully deployed. This means that the down forces normally shared with the rail wheel and rubber tires were borne solely by the tires, and the wheel flange would not resist lateral forces in a curve. The risk increases with changing track cross-level (height difference between the two running rails) in a spiral.





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The investigation identified significant damage to the left side hi-rail gear and mounting, the side that was not properly deployed at the time of the derailment. On the right side, two of four bolts were missing from the hi-rail mounting, and that side appeared to have been the only side supporting vehicle weight. Metrorail vehicle experts concluded the bolts likely sheared at the time of derailment. All bolts were present on the left side mounting.

During the re-railing process, the rear tow eye on the vehicle was damaged. Subsequent repairs included a speed sensor for the reverse transmission hanging by wires, the broken bracket for the sensor, and light repairs.

Probable Cause:

The probable cause of this event was insufficient safety promotion and safety assurance activities to ensure that hi-rail vehicles are properly placed on the rails, and to ensure that pre-trip inspections are effective and complete.

Corrective Actions:

Metrorail modified its Class 2 (RMM) Rail Vehicle pre-trip inspection form to include hi-rail items such as safety pins being inserted, rail gear being locked in and secured, and proper tire pressure. Metrorail notified equipment operators of the change to the form (Completed).

Metrorail completed an operational hazard analysis on the Aspen Aerial vehicle (Completed).

Metrorail retrained personnel on safety standards for mobile elevating work platforms such as those on boom lifts (Completed).

Metrorail is working on operational hazard analyses for all WMATA-owned RMMs (Expected completion date 2027).

Examples of other related open CAPs

- C-0084: Metrorail is not following and does not have effective safety certification and acceptance procedures
 for new RMMs. There is no Metrorail-wide safety certification procedure to implement the SSCP. (Scheduled
 completion July 2024)
- C-0118: Metrorail does not consistently follow its safety certification process, which leads to project activation
 and use without proper hazard identification and mitigation, putting Metrorail customers, personnel and first
 responders at risk. (Scheduled completion April 2024)
- C-0241: Metrorail is not effectively tracking and mitigating hazards related to RMM maintenance and operations in accordance with its PTASP. (Scheduled completion December 2025)

WMSC staff observations:

The WMSC is reviewing elements of Metrorail's "Authorized Construction Site" and "Mobile Command" practices as part of the Roadway/Wayside Worker Protection Audit (draft report being finalized).

The event recorder on the vehicle contributed valuable data to this investigation that improves the assessment and development of safety improvements. Metrorail is adding and utilizing these systems as part of corrective actions to address safety issues identified in previous investigations and audits.



Washington Metropolitan Area Transit Authority Department of Safety (SAFE) Office of Safety Investigations (OSI) FINAL REPORT OF INVESTIGATION A&I E23242

Date of Event:	April 11, 2023
Type of Event:	A-5: Derailment
Incident Time:	01:38 hours
Location:	Outside of Potomac Yard Station – CM C2 408+00
Time and How received by SAFE:	01:38 hours MAC Desk
WMSC Notification Time:	03:18 hours
Responding Safety Officers:	Senior Director, Office of Safety Assurance
Rail Vehicle:	Hi-Rail Vehicle #14800
Injuries:	None
Damage:	Hi-Rail rear gear
Emergency Responders:	TRST, OEP, ATCM, CTEM, ERT, SAFE
SMS I/A Number	20230411#107581

Incident Date: 4/11/2023 Time: 01:38 hours

Final Report - Derailment Rev. 1

E23242

Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023

Outside Potomac Yard Station - CM C2 408+00 - Derailment

April 11, 2023

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Abbreviations and Acronyms

AIMS Advanced Information Management System

ARS Audio Recording System

CAP Corrective Action Plan

CCTV Closed-Circuit Television

CENV Office of Vehicle Program Services

CM Chain Marker

CMOR Office of Radio Communications

MSRPH Metrorail Safety Rules and Procedures Handbook

NOAA National Oceanic and Atmospheric Administration

RTC Rail Traffic Controller

RTRA Office of Rail Transportation

ROCC Rail Operations Control Center

SAFE Department of Safety

SMS Safety Measurement System

TRST Office of Track and Structures

WMATA Washington Metropolitan Area Transit Authority

WMSC Washington Metrorail Safety Commission

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Washington Metropolitan Area Transit Authority Department of Safety – Office of Safety Investigations

Executive Summary

*Note that all times listed are approximate and may contain minor variations due to differences between systems of record. *

On April 10, 2023, an Office of Track and Structures (TRST) Equipment Operator AA was assigned to transport hi-rail vehicle 14800 from the L-line construction site to Alexandria Yard. When the Hi-Rail Operator arrived at the site, the unit was already on the rails from the prior night's work, so they conducted their pre-trip inspection. At 01:12 hours, the Hi-Rail Operator contacted the Rail Operations Control Center (ROCC) to request a lead from the L-line to Alexandria Yard. The Radio Rail Traffic Controller (RTC) initially gave them an absolute block to Pentagon Station, track 1. At 01:16 hours, the Radio RTC informed the Hi-Rail Operator that their absolute block changed to Regan National Airport, track 2 and they were crossing over from track 1 to track 2 at Pentagon City Station. The unit was traveling in the reverse direction, with the trailing end of the vehicle at the lead. At 01:22 hours, the Radio RTC informed the Hi-Rail Operator that their absolute block changed to Braddock Road Station.

As the hi-rail vehicle traveled from National Airport Station to Braddock Road Station, the rear gear (leading end in the direction of travel) climbed and derailed to the field side, coming to rest at chain marker (CM) C2 408+00. The vehicle traveled approximately 26 feet from the point of derailment to the point of rest. The area traversed is on a 1.8% decline leading to a spiral out of an 855-foot curve.

Field investigation by responding Operations and SAFE personnel identified two bolts missing from the rear right wheel chassis, which were not recovered. Field inspection of the area identified a change in wheel-rail interface approximately twenty feet preceding the point of derailment. This was evidenced by noticeable swirl marks on the top of the running rail leading to the point of derailment. There was no damage to the tracks, wayside equipment, or third rail. A post-incident inspection revealed that the Hi-Rail gear was incorrectly deployed, with the left-side rear gear not fully deployed. This resulted in imbalance that likely contributed to the derailment as the vehicle traversed the curve area.

The probable cause for this derailment on April 11, 2023, was the Hi-Rail rear gear being incorrectly deployed. The right and left wheels extend independently and both must be verified and locked separately.

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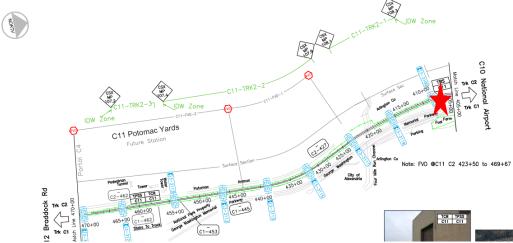
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Incident Site

Outdoor section of track that transitioned from an aerial structure to a curved section of ballasted track.

Field Sketch/Schematics



The above depiction is not to scale.

Purpose and Scope

The purpose of this accident investigation and candid self-evaluation is to collect and analyze available facts, determine the probable cause(s) of the incident, identify contributing factors, and make recommendations to prevent a recurrence.

Investigative Methods

The investigative methodologies included the following:

- Physical Site Assessment
- Formal Interviews SAFE interviewed one individual as part of this investigation. The
 Interview included persons present at, during, and after the incident, those directly
 involved in the response process, and representatives from the Washington Metrorail
 Safety Commission (WMSC). SAFE interviewed the following individual:
 - Hi-Rail Operator
- Documentation Review Collection of relevant work history information and process documentation contained in WMATA systems of record. These records include:
 - Metrorail Safety Rules and Procedures Handbook (MSRPH)
 - National Oceanic and Atmospheric Administration (NOAA)
 - Hi-Rail Operator 30-Day Work History
 - Hi-Rail Operator Training Records
 - Hi-Rail Operator Safety Records
 - Hi-Rail Vehicle Inspection Records
 - Track Inspections
 - CENV Report
 - Radio Communication Report
- System Data Recording Review Collection of information contained in Metro Data Recording Systems. This data includes:

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- Audio Recording System (ARS) playback, including OPS 3 Radio, Telephone Rail
 1, 2, and 3,
- Track Circuit Data
- Samsara Event Recorder

Investigation

On April 10, 2023, a TRST Equipment Operator AA was assigned to transport an Aspen Aerial Hi-Rail vehicle, 14800, from the L-line construction site to Alexandria Yard. The Aspen Aerial Hi-Rail vehicle is used to conduct bridge inspections. When the Hi-Rail Operator arrived at the site, the unit was already on the rails from prior work activities, so they conducted their pre-trip inspection. There were no defects identified during the pre-trip inspection. At 01:12 hours, the Hi-Rail Operator contacted the ROCC to request a lead from the L-line to Alexandria Yard. The Radio RTC initially gave them an absolute block to Pentagon Station, track 1. At 01:22 hours, the Radio RTC informed the Hi-Rail Operator that their absolute block changed to Braddock Road Station. As the hi-rail vehicle traveled from National Airport Station to Braddock Road Station, the rear gear derailed at CM C2 408+00.

The Office of IT System and Software (ITSS) was able to use the track circuit data to provide an estimated speed the hi-rail vehicle was traveling at the time it derailed. Based on the track circuit data, it was estimated that the hi-rail vehicle was traveling at approximately 15 mph. This is the maximum speed this unit should travel. The hi-rail vehicle was also equipped with a data recorder that captured the speed at 14 mph when the unit derailed. During the interview, the Hi-Rail Operator mentioned that these units must traverse curves slowly because the units tend to jerk and shake while traversing curves.

Field investigation by responding Operations and SAFE personnel identified two bolts missing from the rear right wheel chassis. These bolts can be adjusted, but they were designed to stay in place once tightened. The chassis is essential for both rail and over the road operations. Field inspection of the area also identified a change in the wheel-rail interface approximately twenty feet from the point of derailment. This was evidenced by noticeable swirl marks on the top of the running rail leading to the point of derailment. There was no damage to the tracks, ATC equipment, or third rail.

Photographs from the scene revealed that the left side rear gear was not fully deployed whereas the right side gear was deployed correctly. As noted in the CENV report, this resulted in a weight imbalance that likely contributed to the derailment. (See Appendix B. pg.4) The attaching hardware is secured with locking nuts which could loosen but not fall off. The mounting locations show no signs of rattling of loose hardware. This would support the conclusion that hardware sheared at the time of derailment.

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Chronological Event Timeline

A review of ARS playback, i.e., phone and radio communications, revealed the following timeline:

Time	Description
01:12:37 hours	Hi-Rail Operator: Contacted the Radio RTC to inform them that they were
	standing by at chain marker L1 163+00 and needed a lead back to C99. [Ops. 3]
01:13:05 hours	Radio RTC: Gave the Hi-Rail Operator permission to pass C07-12 signal red, verify switch 1A was clamped in the reverse for the reverse move, and gave them an absolute block to Pentagon 1. Hi-Rail Operator: Gave a 100% repeat back. [Ops. 3]
01:16:16 hours	Hi-Rail Operator: Informed the Radio RTC that they were at Pentagon 1. [Ops. 3]
01:16:20 hours	Radio RTC: Informed the Hi-Rail Operator that their absolute block changed to Regan National Airport Track 2 crossing over from track 1 to track 2 at Pentagon City. [Ops. 3]
01:22:15 hours	Hi-Rail Operator: Contacted the Radio RTC to inform them they were at Crystal City Station. Radio RTC: Informed them that their block changed to Braddock Road, track 2. [Ops. 3]
01:33:48 hours	Hi-Rail Operator: Contacted the Buttons RTC to inform them that the hi-rail vehicle derailed at chain marker C1 408+00. [Phone-Ops.3]
01:36:49 hours	Buttons RTC: Contacted the Power desk to have third rail power de-energized where the derailment occurred. [Phone-Ops.3]
01:46:26 hours	Hi-Rail Operator: Contacted the Buttons RTC to inform them that two COMM personnel were outside the gate where they were and could assist them. They mentioned they had a hot stick to confirm third rail power was down. [Phone-Ops. 3]
01:54:39 hours	Radio RTC: Gave the two Power Units foul time to hot stick the area. [Ops. 3]
01:55:16 hours	Power Desk: Informed the Buttons RTC that power was down, and they could hot stick at their discretion. [Phone-Ops. 3]
01:59:40 hours	Radio RTC: Asked the Hi-Rail Operator if there was a way to access their location by an access gate. [Ops. 3]
02:03:48 hours	Power Units 1146 & 1153: Confirmed third rail power was de-energized. [Ops. 3]
02:10:07 hours	Radio RTC: Instructed the Hi-Rail Operator to stand by and stand clear and not attempt to re-rail the unit. Hi-Rail Operator: Gave 100% repeat back. [Ops. 3]
02:10:42 hours	Hi-Rail Operator: Informed the Buttons RTC that they were alone on the hirail unit and there was an access gate close to where the unit derailed. [Phone-Ops. 3]
02:19:00 hours	ROCC Assistant Operations Manager: Contacted MTPD to inform them of the derailment between National Airport and Potomac Yard Stations at chain marker C2 408+00. [Phone-Rail 2)
02:29:35 hours	TRST Unit #1: Contacted the Radio RTC to request permission the enter the access gate into the roadway and crossover from track 1 to track 2 to perform a track inspection. Radio RTC: Gave a 100% repeat back. [Ops. 3]
02:38:42 hours	Radio RTC: Granted the TRST unit foul time to enter the roadway to access the derailment area. [Ops. 3]
02:56:50 hours	Radio RTC: Assigned TRST Unit 75 as the On-Scene Commander. [Ops. 3]
03:18:56 hours	ATC Unit: Contacted the Radio RTC to request permission to enter the roadway to conduct an inspection of ATC equipment in the derailment area. [Ops. 3]

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Time	Description
03:28:01 hours	CTEM Mechanic: Informed a ROCC AOM that there were 2 missing bolts in the hi-rail and they were waiting on the re-railing unit. [Phone-Rail 3]
03:31:34 hours	ATC Unit: Contacted the Radio RTC to inform them that all ATC personnel and equipment were clear from the roadway and no damage to any ATC equipment was found.
04.00.001	Radio RTC: Gave a 100% repeat back. [Ops. 3]
04:08:26 hours	Hi-Rail Operator: Contacted the Buttons RTC and gave them an update. The Buttons RTC asked if track 1 side could be used to move units through the area. [Phone-Ops. 3]
04:10:52 hours	<u>Safety On-Call Director:</u> Contacted the ROCC to provide an update from the derailment site. Informed them that their portion was completed, and they could start the re-railing process. [Phone-Rail 1]
04:35:15 hours	TRST Unit #1: Advised personnel was bringing out the re-railing equipment and they would give back track 1 once the equipment was cleared. [Ops. 3]
04:40:15 hours	TRST Unit #2: Contacted Rail 2 to request a speed restriction on track 1 at the derailment site. [Phone – Rail 2]
04:51:39 hours	TRST Unit #1: Advised the Radio RTC that third rail power could be restored. [Ops. 3]
04:52:02 hours	Radio RTC: Made blanket announcement that third rail power was being restored between Potomac Yard and Crystal City Stations, tracks 1,2, and 3. [Ops. 3]
05:22:28 hours	Radio RTC: Contacted TRST #1 to inform them that they needed to hot stick and confirm power was de-energized on track 2 and needed them to provide chain markers. [Ops. 3]
05:36:24 hours	TRST Unit #2: Contacted the Radio RTC to request that third rail power be de-energized from CM C2 406+00 – 409+00. Radio RTC: Gave 100% repeat back. [Ops. 3]
05:37:58 hours	Radio RTC: Granted TRST Unit #2 permission to hot stick their gaps and work location to verify power was de-energized. TRST Unit #2: Gave a 100% repeat back. [Ops. 3]
05:39:32 hours	TRST Unit #2: Informed the Radio RTC that third rail power was confirmed de-energized. [Ops. 3]
05:42:26 hours	Radio RTC: Gave TRST Unit #2 permission to resume their work at the derailment site. [Ops. 3]
06:54:20 hours	ROCC Assistant Operations Manager: Contacted TRST Unit #2 to inquire about the status of the re-railing and asked them to go across the air once the unit has been re-railed. [Phone- Rail 3]
07:06:24 hours	TRST Unit #2: Contacted the Assistant Operations Manager to inform them that the unit was re-railed and the unit will travel no greater than 5 mph and they will walk the unit through the interlockings to make sure it clears. [Phone – Rail 3]
07:37:20 hours	TRST Unit #2: Contacted the Radio RTC to inform them that the hi-rail vehicle was re-railed, all personnel and equipment were cleared from the roadway and third rail power could be restored third rail power. Radio RTC: Gave a 100% repeat back. [Ops. 3]
08:25:20 hours	TRST Unit #2: Informed the Radio RTC that the hi-rail vehicle was properly secured at Alexandria Yard, and all personnel was cleared from the roadway. [Ops. 3] may vary from other systems' timelines based on clock settings and reporting sources.

**Note: Times above may vary from other systems' timelines based on clock settings and reporting sources.

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Digital Images and Photographs



Figure 1: Hi-rail unit 14800 derailed on 04/11/23 at 01:38, travelling in reverse to Alexandria Yard along the Blue Line, between National Airport and Potomac Yard Stations.



Figure 2: Point of derailment (in red), approximately two feet before Chain Marker C2 408+00.

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Figure 3: The shows the point of rest. Note the tie damage due to the wheel flange travel. Point of rest is approximately 26 feet from POD.



Figure 4: The hi-rail derailed chassis, within the track gauge. Note on the right side two bolts (in red) are always in placed but sheared off.

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Figure 5: The rail markings switched to a swirling mark at approximately 20 feet prior to the point of derailment.

Office of Vehicle Program Service (CENV) Adopted from CENV Report:

"On the morning of April 11th, 2023, at 0138 hours, WMATA owned hi-rail unit 14800 derailed on the C-line between Reagan National Airport and Potomac Yard stations at chain marker C2 408+00. The ROCC was notified and the rerail team was dispatched. At 0830 hours, the unit cleared mainline to the Reagan National Airport pocket track. No injuries were reported. Revenue trains were required to single track around the derailment. At the time of initial response, the left-side of the rear hi-rail gear was found to be not fully deployed and locked. In this condition, the rail wheel would have been severely unloaded and offer no lateral resistance in the direction of derailment and is the likely cause of the incident." (CENV Incident Report)

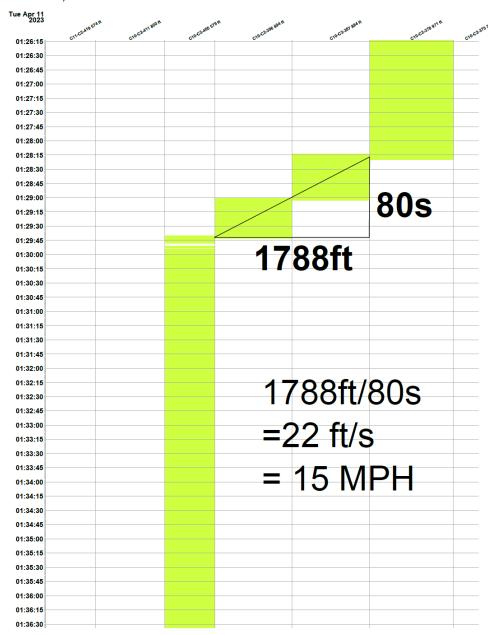
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Office of IT Systems & Software (ITSS)

Prior to reaching block C2-405, the vehicle shown shunting in this chart traveled 1,788 feet in 80 seconds, which works out to 15MPH.



Office of Systems Maintenance, Office of Radio Communications (COMR)

The Office of Radio Communications (CMOR) conducted a radio operational test between CM 400+00 – 450+00 on n 1 and 2. CMOR personnel rode the train from Ronald Regan National Airport to Braddock Road, back to Ronald Regan National Airport while making radio checks. All radio checks were loud and clear.

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Office of Track and Structures

Hi-Rail vehicle 14800 is an Aspen Aerials Underbridge Machine. This hi-rail vehicle is used for bridge maintenance and inspections. This hi-rail vehicle is maintained by the Office of Track and Structures Maintenance. This unit was equipped with an event recorder in June 2022. See Appendix C attachment 2.

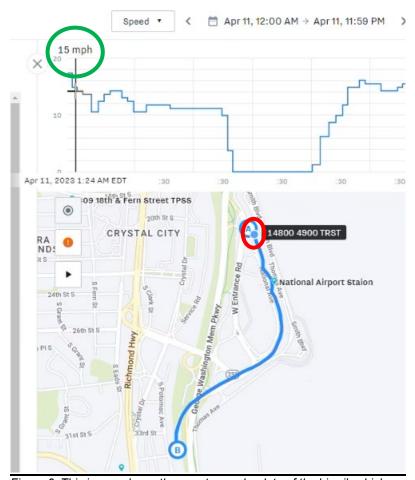


Figure 6: This image shows the event recorder data of the hi-rail vehicle speed as it traversed from Crystal City Station to Braddock Road Station.

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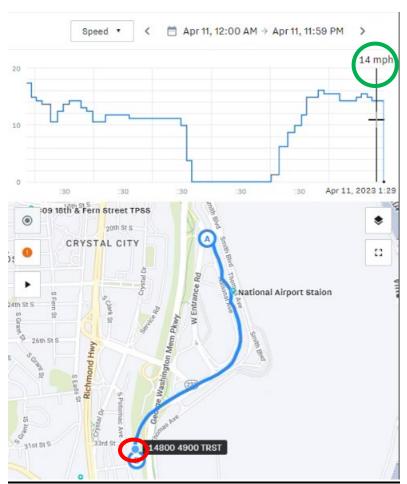


Figure 7: This image shows the event recorder data of the hi-rail vehicle speed right before it derailed.

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Track Inspection

TRACK 1	Gauge	Elevation
405-00	56 3/4"	6 1/16"
405+10	56 5/8"	6 1/16"
405+20	56 7/8"	6 3/16"
405+30	56 13/16"	6 1/16"
405+40	56 11/16"	6 1/8"
405+50	56 3/4"	6 1/8"
405+60	56 13/16"	6 5/16"
405+70	56 3/4"	6 3/8"
405+80	56 3/4"	6 3/8"
405+90	56 11/16"	6 3/16"
406+00	56 9/16"	5 15/16"
406+10	56 9/16"	6 1/16"
406+20	56 7/16"	6 1/16"
406+30	56 3/4"	5 7/8""
406+40	56 11/16"	5 13/16"
406+50	56 3/4"	5 3/8"
406+60	56 13/16"	5 1/16"
406+70	56 7/8"	4 15/16"
406+80	56 3/4"	4 11/16"
406+90	56 3/4"	4 9/16"
407+00	56 9/16"	4 3/16"
407+10	56 11/16"	4"
407+20	56 5/8"	3 13/16"
407+30	56 9/16"	3 5/8"
407+40	56 9/16"	3 1/2""
407+50	56 5/8"	3 1/8"
407+60	56 11/16"	3 1/8""
407+70	56 5/8"	3 1/16"
407+80	56 5/8"	2 15/16"
407+90	56 1/2"	2 5/8"
408+00	56 1/4"	2 3/8"
408+10	56 11/16"	2 3/16"
408+20	56 9/16"	2 1/16"
408+30	56 7/16"	1 7/8"
408+40	56 1/2"	1 13/16"
408+50	56 7/16"	1 1/2"
408+60	56 5/16"	1 1/4"
408+70	56 5/16"	1 3/16"
408+80	56 1/4"	1"

Interview Findings

As part of the investigation launched into the event, SAFE interviewed one person. The interview identified the following key findings associated with this event. Findings detailed below include reported information from involved personnel and may conflict with other data sources contained in the report.

- The Hi-Rail Operator stated they have operated this unit previously and are very familiar with the operations.
- The Hi-Rail Operator mentioned the hi-rail unit was already on the rails when they arrived at the L-line construction site.
- Their assignment was to transport the hi-rail vehicle back to Alexandria Yard.

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- The Hi-Rail Operator stated there were no mechanical issues while they were operating the unit.
- The Hi-Rail Operator was operating the unit in reverse when it derailed.
- The Hi-Rail Operator stated when the unit traverse curves, it tends to shake or jerk so you must slow your speed.
- They did not immediately know the unit had derailed.

Weather

On April 11, 2023, at the time of the incident, NOAA recorded the temperature as 46°F, with clear skies, winds 6.9 mph, and 57% humidity. The weather was not a contributing factor in this incident (Weather source: NOAA) – Location: Arlington, VAHuman Factors

<u>Fatique</u>

Signs and Symptoms of Fatigue

We evaluated conditions at the time of the incident to distinguish whether evidence of fatigue was present. No video of the involved person was available to ascertain whether evidence of fatigue was present. Hi-Rail Operator reported feeling fully alert at the time of the incident. Hi-Rail Operator reported experiencing no symptoms of fatigue in the time leading up to the incident.

Fatigue Risk

We evaluated incident data for fatigue risk factors. No significant risk was identified. The incident time of day did not suggest an increased risk of fatigue-related impairment. Hi-Rail Operator reported keeping a regular sleep schedule in the days leading up to the incident. The Hi-Rail Operator worked daytime and nighttime shifts in the days leading up to the incident. The Hi-Rail Operator was awake for 5 hours at the time of the incident. The Hi-Rail Operator reported 8 hours of sleep in the 24 hours preceding the incident. The off-duty period was 15 hours which provides an opportunity for 7-9 hours of sleep. This was a comparable amount of sleep as the employee's usual workday sleep durations. The Hi-Rail Operator reported no issues with sleep.

Post-Incident Toxicology Testing

WMATA's Drug and Alcohol Program determined that the Hi-Rail Operator complied with the Drug and Alcohol Policy and Testing Program 7.7.3/6.

Findings

- The hi-rail vehicle was traveling 14-15 mph when it derailed, which is within authorized speed for the equipment.
- There were two bolts missing from the right-side rear gear wheel support.
- This was the hi-rail vehicle's first operation after being inoperable for an extended period
 of time.
- The derailment occurred within a curved section of track.
- The vehicle's hi-rail gear is certified and inspected by an outside contractor on an annual basis. The last inspection was in June 2022.
- Post-incident inspection found the left side rear gear not fully deployed and locked in as required.
- The mounting location at the work zone showed no signs of rattling of loose hardware.
- All wheel flanges were found within the manufacturer's limits.

Incident Date: 4/11/2023 Time: 01:38 hours

Final Report - Derailment Rev. 1

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Immediate Mitigation to Prevent Recurrence

- The Hi-Rail vehicle was re-railed and removed from service.
- The Hi-Rail Operator was removed from service and taken for post-incident testing.
- The Office of Safety Certification will complete an OHA on hi-rail vehicle 14800.

Probable Cause Statement

The probable cause for this derailment on April 11, 2023, was the Hi-Rail rear gear was incorrectly deployed. The right and left wheels extend independently, and both must be verified and locked separately.

Recommended Corrective Actions

Corrective Action Code	Description	Responsible Party	Estimated Completion Date
107581_SAFE CAPS_TRST_ 001	Modify the WMATA Class 2 Rail Vehicle pre-trip inspection form to include hi-rail vehicle specific items such as safety pins inserted, rail gear fully locked in and secured, proper tire pressure and notify all Equipment Operators of the change.	TRST	Completed
107581_SAFE CAPS_TRST_ 002	Responsible Personnel will be retrained on ANSI A92.22 and A92.24 standards for Type 2, Group B Mobile Elevating Work Platforms (MEWPs)	TRST	Completed
107581_SAFE CAPS_SAFE_ 001	The Office of Safety Certification will complete Operational Hazard Analyses (OHA) all WMATA-owned RMMs.	SAFE	06/30/2027
107581_SAFE CAPS_SAFE_ 002	The Office of Safety Certification will complete an OHA on hi-rail vehicle 14800 before it returns to service.	SAFE	Completed

Incident Date: 4/11/2023 Time: 01:38 hours

Final Report – Derailment Rev. 1

E23242

Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023

Appendices

Appendix A – Interview Summary

The below narrative summarizes the incident and represent the statements made by the involved individual. As such, times and details may present a conflict with the data contained in systems of record.

The Hi-Rail Operator is a WMATA employee with 20 years of experience, all as an Equipment Operator. The Hi-Rail Operator previously worked as a Training Assistant. The Hi-Rail Operator is RWP Level 4 certified and must recertify in May 2023. The Hi-Rail Operator was last certified as an Equipment Operator in August 2022. The Hi-Rail Operator mentioned feeling fully alert right before the incident. The Hi-Rail Operator noted that the start of their shift was a typical workday, and they did not experience any mechanical issues with Hi-rail vehicle 14800.

The Hi-Rail Operator stated their work assignment for the day was to transport a hi-rail vehicle, 14800, from the L-line construction site to Alexandria Yard. The Hi-Rail Operator stated when they arrived at the L-line construction site, the hi-rail unit was already on the rail so all they had to do was complete a pre-trip inspection and request a lead from the ROCC to Alexandria Yard. The Hi-Rail Operator mentioned they did not experience any issues while they were traveling back to Alexandria Yard. The Hi-Rail Operator stated they were very familiar with operating this unit because they typically operate it during bridge inspections. The Hi-Rail Operator stated you must reduce your speed when you traverse curved track because the Hi-Rail vehicles tend to jerk or shake if you are traveling too fast. The Hi-Rail Operator could not recall the actual speed they were traveling at the time of the derailment. The Hi-Rail Operator mentioned there have been numerous mechanical issues with this hi-rail vehicle.

The Hi-Rail Operator stated they operate this unit without a pilot and the training was sufficient. They were traveling from an aerial structure into an area of curved track when the unit derailed and traveled about 20 feet. The Hi-Rail Operator did not experience radio communication issues.

Incident Date: 4/11/2023 Time: 01:38 hours

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Washington Metropolitan Area Transit Authority

CENV

Incident Report

WMATA Hi-Rail C-Line Derailment

June 29, 2023

Incident Date: 4/11/2023 Time: 01:38 hours

Final Report - Derailment Rev. 1

E23242

Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023

Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023



Washington Area Metropolitan Transit Authority Incident Summary Report

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·	
Findings of Investigation Conclusion Recommendations Attachment A – A1_ Derailment 04112023 Attachment B – HR1480-speed Attachment C – 14800 High Rail Inspection 6-16-22	L

LOCATION: C2 CM 408+00

INCIDENT#: 107581

DATE: 04/11/2023

TIME: 1:38 AM

Investigation Team Members



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Incident Date: 4/11/2023 Time: 01:38 hours

Final Report - Derailment Rev. 1

E23242

Drafted By: SAFE 703 – 07/05/2023

Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023

Executive Summary

On the morning of April 11th, 2023, at 0138 hours, WMATA-owned hi-rail unit 14800 derailed on the C-line between Reagan National Airport and Potomac Yard stations at chain marker C2 408+00. ROCC was notified and the rerail team was dispatched (see Attachment A). At 0830 hours, the unit cleared mainline to the Reagan National Airport pocket track. No injuries were reported. Revenue trains were required to single track around the derailment.

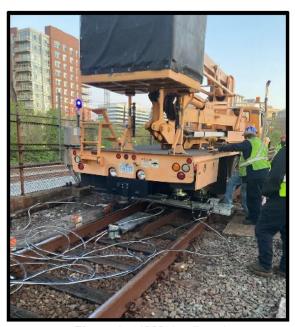


Figure 1. 14800 derailment

At the time of initial response, the left-side of the rear hi-rail gear was found to be not fully deployed and locked. In this condition, the rail wheel would have been severely unloaded and offer no lateral resistance in the direction of derailment and is the likely cause of the incident.

<u>Introduction</u>

Vehicle 14800 is a TRST asset hi-rail truck with a boom crane used for inspecting aerial structures. Vehicle 14800's purpose is to place personnel underneath aerial structures for condition assessment and repairs. It is a 1999 International 4900, tandem rear axle truck with an Aspen A-30 inspection crane mounted on the deck. The truck has DMF hi-rail gear for operating both over-the-road and on-rail. In Maximo, as of 7/22/2016, TAMO rates 14800 as Condition 2 due to "Increasing number of defects; deterioration"

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Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023 Page 21

Approved By: SAFE 71 – 08/17/2023

Findings of Investigation

On the morning of April 11th, 2023, at 0138 hours, hi-rail unit 14800 derailed to the left-side, in relation to the vehicle, on the C-line between Reagan National Airport and Potomac Yard stations at chain marker C2 408+00. The unit was travelling outbound in the reverse direction. The vehicle was reported to have an average speed of 15 mph up to the incident (see Attachment B). The track geometry leading to the incident is a 1.8% decline spiraling out an 855-foot radius curve. The track is guarded to chain marker 407+82 with a single emergency guard rail.

ROCC was notified and the rerail team was dispatched. At 0830 hours, the unit cleared mainline to the Reagan National Airport pocket track. The following night, April 12th, the vehicle transported to Alexandria yard arriving at 0230 hours without incident.

During CENV/CTEM review of photographs taken at the incident location, the left-side of the rear hirall gear can be seen not fully deployed (see Figure 2). The two rectangular pads circled should be rotated an additional 90° to be coincident with the mounting plate as shown on the right-side.

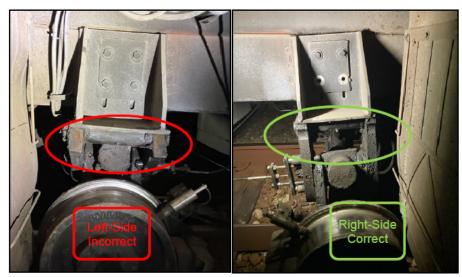


Figure 2. Left-side and right-side hi-rail comparison

In this configuration, the down force normally shared with the rail wheel and tires is borne solely by the tires. Without any downforce, the wheel flange will not offer resistance to lateral forces and the vehicle would not be able to counteract centrifugal forces in a radius. Additionally, the vehicle has less ability to conform to changing cross-level in a spiral.

Significant damage was found to the left side of hi-rail gear and its associated mounting. Although not located at the incident, two of four bolts were missing from the right side hi-rail mounting location (see Figure 2). The right hi-rail was pushed forward consistent with derailing in the reverse travel direction and being the only side supporting vehicle weight. The left side mounting had all four mounting bolts and had only mild misalignment.

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Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023

Approved By: SAFE 71 – 08/17/2023

The attaching hardware is secured with locking nuts which could loosen but not fall off. The mounting locations show no signs of rattling of loose hardware. This would support the conclusion the hardware sheared at the time of derailment. The wheel flanges were gauged in Alexandria and all wheel flanges were found within the manufacturer limits. The annual hi-rail gear inspection was up to date, last performed 6/16/22 (see Attachment C).

During the rerailment procedure, the rear toweye experienced damage from jacking (see Figure 3). The bracket is bent and needs to be repaired. When reinstalling, the mounting bolts should be replaced.



Figure 3. Toweye damage

Conclusion

The incident is likely a result of incorrectly deployed rear hi-rail gear. The right and left wheels extend independently, and both must be verified and locked separately.

<u>Recommendations</u>

- Review WMATA hi-rail operation and usage training.
- Evaluate the need to return 14800 to service. If the unit is not decommissioned, the following recommendations should be performed:
 - Have the rear hi-rail gear inspected and repaired/replaced by qualified personnel.
 - Repair rear tow eye and install with new mounting hardware.

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Incident Date: 4/11/2023 Time: 01:38 hours

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Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023 Page 23

Approved By: SAFE 71 – 06/14/2023

Appendix C - Hi Rail Vehicle Annual Inspection



Annual Hi-Rail Safety Inspection Checklist FRA 214.523 Special Fleet Service, Inc. Harrisonburg, VA 22802 (540)434-4488

(515)151 1155
Owner: METRO Date: June 16,2022
Vehicle Make: International Model: 4900 624 Year: 1998
VIN: 1413 HARR954659768 License Tag - State & Number: C63775 Mileage: 22671
HI-Rail gear installed (Make & Model) Front ONF 1630 SW-16964
Rear <u>DMF1630 SN-16965</u>
Manufacturers installation and/or Maintenance Manual (as applicable) Available
Set Unsat
Inspect HI-Rail Assemblies for loose or missing parts.
Inspect guide wheels wear per manufacturer's limits
Inspect Tram or Alignment in accordance with manufacturer's limits & procedures
Guide wheel gage within manufacturer's limits
Gage Front Axle: 53" Gage Rear Axle: 53"
REQUIRED ON NEW HI-RAIL VEHICLES
FRA § 214.7 Definitions HI-Rall vehicle, new means a hi-rall vehicle that is ordered after December 26, 2003 or that is completed after September 26, 2004.
Back up alarm meeting FRA § 214.523 (c)(1) Installed.
Warning light or Beacon meeting FRA § 214.523 (c)(1) Installed
Comments:

1. A copy of this inspection report shall be kept on the hi-rail vehicle.

2. The hi-rail gear of this vehicle shall be inspected for safety at least annually and with no more than 14 months between inspections.

Incident Date: 4/11/2023 Time: 01:38 hours

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Sigature:

E23242

Drafted By: SAFE 703 – 07/05/2023

Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023

V	VMATA CLASS 2 RAIL VEHICLE PRIOR TO USE INSPECTION
4 11	erator /Flag Person)
7	1. Check for wheel chocks and that the required quantity for unit(s) in consist are present.
×	2. Check angle cocks, train line seals, air tool and drain valves. (Open or close as required).
	3. Check main engine for proper oil level.
7	4. Check for any loose, broken, torn, cracked, or leaking components as you make your walk around inspection.
	5. If using auxiliary components such as cranes, generators and compressors, check all controls, movements, fluid levels, and safety devices.
	6. Start machine and check all switches, gauges, and warning indicators.
- 1	7. Check for sufficient air pressure and if equipped with A-9, make sure it is at 90 psi in the release position.
	8. Check transmission for correct oil level and any abnormal sounds or functions.
-	
	9. Ensure all equipment, tools, supplies or loose debris are secured on decks and not posing any safety hazards.
	10. If equipped and scheduled for use, inspect work head assemblies for wear, out of adjustment and damage. Check oil fill reservours and grease all fittings.
×	1. If equipped, inspect E-couplers, tow bars, and revenue train couplers. Make sure all tools are properly stored and secured while maintaining proper housekeeping of materials and equipment.
×	12. Gas cylinders should be secured and in their proper location.
×	13. Ensure all work heads and components such as crane booms, outriggers, measuring buggies, clamp frames, plows, turn tables and extension arms are pinned and locked with safety devices prior to travel.
_	14. Check fuel and hydraulic tanks for proper level.
	15. Check all wheels, brakes, visible linkage, and suspension on all rolling stock vehicles.
	16. Check for cracked, broken, missing windows and side boards. Make sure there are no bent or loose railings, steps, or cabinet enclosures that are missing safety chains, locks or latches.
	17. Turn on and inspect all lighting on unit(s) in consist for any defects or problems.
	18. Check that back up alarms and homs sound.
/	19. Fire extinguishers should be charged and secured. Sign the monthly inspection log (if not already signed).
2	20. Verify the radio(s) is/are able to transmit and receive clearly.
×	21. Inspect all items in flagman's booth for proper operation and functionality.
X	22. Ensure loads are secure, evenly distributed and are not hanging over the side or ends of flat car.
X.	23. Check all Flat Car emergency dump valves and hand brakes.
1	24. Inspect hi-rail components for thin flanges, leaking cylinders, safety pins, tires, shunts, and proper tuck when on hi-rai
X	25. Perform a stretch test. Successful Test N/A
	26. Perform a rolling brake test (all class 2 vehicles).
	27. Perform standing brake test (all flatcars, PM26-PM53 only).
	28. Verify the intercom headsets are able to transmit and receive clearly (if applicable).
-	29. Operators and Pilots have reviewed, and have in their possession, mainline and yard maps showing their intended routing, curves and interlockings and restrictions and other vital information.
	ransporting units for PMI, make ensure cabs, decks, platforms and operating stations are clear and free from trash, tools, materials and supplies.
Notes/Comme	* *
Print Name	e(s): ID# (s):
Signature(s	F 10 11 5 00
Yard or loc	ation where inspection is performed:
Date:	440-23 Time of Inspection: 2342
RST-CMP-F	RM-C2RVPUIC-REV.5.2 04122021

Incident Date: 4/11/2023 Time: 01:38 hours

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Appendix E - Scene Photographs



Figure 8: This is a picture of Hi-Rail vehicle, 14800.



Figure 9: Hi-rail unit 14800 derailed on 04/11/23 at 01:38, traveling in reverse to Alexandria Yard along the Blue Line, between National Airport and Potomac Yard Stations.

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Drafted By: SAFE 703 - 07/05/2023

Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023



Figure 10: Point of derailment (in red), approximately two feet before Chain Marker C2 408+00. The front of the unit was the trailing end in the direction of travel.



Figure 11: Shows the point of rest. Note the rail tie damage due to the wheel flange travel. Point of rest is approximately 26 feet from POD.

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E23242

Drafted By: SAFE 703 - 07/05/2023

Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023

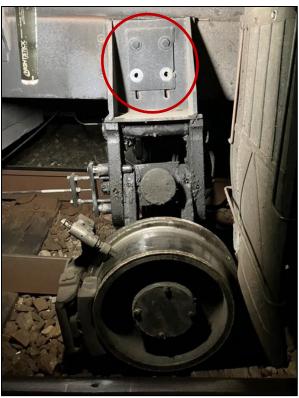


Figure 12: The hi-rail derailed chassis, within the track gauge. Note the two bolts sheared.



Figure 13: The rail markings switched to a swirling mark at approximately 20 feet to the point of derailment.

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Appendix F - Work Orders



Washington Metropolitan Area Transit Authority Maintenance and Material Management System Work Order Details

Page 1 of 3 MX76PROD

Work Order #: 17075164 Type: CM

Component: 000-100 SERVICE VEHICLE



Status: CLOSE 06/15/2022 06:39

Work Description: DEFECTS FOUND ON PMI W/O 17006324 Job Plan Description:

Work Information Asset: SV014800 SV014800, CRANE TRUCK OVER/UNDER Owning Office: BMNT-COO-RAIL Parent: Aspen Aerial UB30, 202-480-3857, COO Asset Tag: SV014800 Maintenance Office: BMNT-SVMT-CMTR Create Date: 05/24/2022 06:39 Asset S/N: 1HTSHAAR8XH659768 Actual Start: 05/24/2022 06:51 Labor Group: T38, CARMEN TURNER FACILITY Crew: SVMTHVTK Location: 1416 Actual Comp: 06/03/2022 09:19 T38, CARMEN TURNER FACILITY, BUILDING (F) BUS2, 1ST FLOOR SERVICE VEHICLE SHOP Work Location: 3612 Lead: E015221 Item: SVMTV0134 Failure Class: SVMT023 DEFECT WORK ORDER WITH TASKS GL Account: WMATA-02-32380-50499070-041-*****-OPR** Problem Code: 4352 DEFECTS FOUND ON PMI Supervisor: Target Start: Requested By: Requestor Phone Target Comp: Chain Mark Start: Chain Mark End: Scheduled Start: Create-Mileage: 23637.4 Complete-Mileage: 23637.4 Task IDs Task ID MARKER LIGHT OUT "MECH" 10 REPALCED RIGHT SIDE MARKER LIGHT Component: 000-100 SERVICE VEHICLE Work Accomp: REPLACED NEW Reason: INOPERATIVE Status: CLOSE Position: BUMPER LIGHTED / POLE BROKEN "MECH" REPLACED RIGHT SIDE FRONT ORANGE BUMPER INDICATOR POLE Component: 000-100 SERVICE VEHICLE Work Accomp: REPLACED NEW Reason: BROKEN Status: CLOSE Position: Warranty?: N REPROGRAM FLEETWATCH "MECH" PROGRAMED FLEETWATCH Component: 000-100 SERVICE VEHICLE Work Accomp: PROGRAMED Reason: RELIABILITY Status: CLOSE Position: Warranty?: N REVERSE TRANS SPEED SENSOR BRACKET BROKEN "MECH" FOUND SPEED SENSOR FOR REVERESE TRANS JUST HANGING BY WIRES FOUND BRACKET FOR SESNOR HAD BROEKN OFF REMOVED BRACKET AND PREPED FOR WELDING BACK TOGETHER WELDED BRACKET BACK TOGETHER WELDED BRACKET BACK TOGETHER DEADLE OF THE PROPER FOR THE PROPERTY OF TH

Attachment 1: This is page 1 of 3 of the last preventative maintenance inspection for Hi-Rail vehicle 14800.

Reason: BROKEN

Status: CLOSE Position:

Work Accomp: REPAIRED

Incident Date: 4/11/2023 Time: 01:38 hours

Final Report - Derailment Rev. 1

E23242

Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023

Approved By: SAFE 71 – 06/14/2023



Washington Metropolitan Area Transit Authority Maintenance and Material Management System Work Order Details

Page 2 of 3 MX76PROD

Status: CLOSE 06/15/2022 06:39

Work Order #: 17075164 Type: CM



Work Description: DEFECTS FOUND ON PMI W/O 17006324

Job	Plan Desc	ription:									
Task IDs											
Task ID											
50	SERVICE BA	TTERIES "MECH"									
		ERIES AT AND FOUND 1 BATTERY FIALED TES' ID BATTERY IN THE REAR	Т								
Component:	000-100 SER	VICE VEHICLE	Work Accomp:	REPLACED NEW	Reason: WE	AK	Stat	us: CLOSE	Position:	Warr	anty?: N
60	REPLACE LI	GHT FOR CONTROL PANEL "OPER"									
	FOUND LIGHT REMOVED OL DRILLED HOLI SOLDERED W	CONTROL PANNEL INSDIE TRUCK FOR BOOM C FOR INTERLOCK DISENGAGED NOT WORKING D LIGHT FROM PANNEL TO FIT NEW LIGHT IRES ON TO CHIP BOARD RATION AFTER REPAIR									
Component:	000-100 SER	VICE VEHICLE	Work Accomp:	REPLACED NEW	Reason: INC	PERATIVE	Stat	us: CLOSE	Position:	Warr	anty?: N
70	TIP OVER H	ORN INOP. "OPER"									
	REPLACED TII	OVER HORN PER OPERATOR, PART WAS OBT	TAINED FRON STANI	LEY KRUSZEWSKI AN	ID INSTALLED BY SVMT	г.					
Component:	000-100 SER	VICE VEHICLE	Work Accomp:	REPLACED NEW	Reason: INC	PERATIVE	Stat	us: CLOSE	Position:	Warr	anty?: N
Planned Mate	rials										
Task ID	Item	Description				St	toreroom	Issue Unit	Quantity	Unit Cost	Line Cost
10	SV0130032	LAMP (SEE IMAGE), AMBER LED, 2-1/2" R	ROUND, M11300Y,	MAXXIMA			145	EA	3	\$9.42	\$28.26
50	SV0120352	BATTERY: 12 VOLT, GROUP 31, CCA 950- DUTY	-1150, RC 175-195	, STUD TYPE, HEA	VY TRUCK, DEEP CY	YCLE	641	EA	1	\$123.22	\$123.22
									Total Plann	ned Materials:	\$151.48
Actual Labor											
									Regular	Premium	
Task ID	Labor		Start Date	End Date	Start Time	End Time		red?	Hours	Hours	Line Cost
10			05/25/2022	05/25/2022	06:00	06:30	Y		00:30	00:00	\$19.14
20			05/25/2022	05/25/2022	06:30	07:00	Y		00:30	00:00	\$19.14
30			05/25/2022	05/25/2022	07:00	08:00	Y		01:00	00:00	\$38.28
40			05/24/2022	05/24/2022	11:00	13:30	Y		02:30	00:00	\$95.71
50			05/25/2022	05/25/2022	12:30	13:30	Y		01:00	00:00	\$38.28
60			06/01/2022	06/01/2022	08:30	10:30	Y		02:00	00:00	\$76.57
70			06/01/2022	06/01/2022	10:30	11:30	Υ		01:00	00:00	\$38.28
						To	tal Actual H	our/Labor:	08:30	00:00	\$325.41

Attachment 1: This is page 2 of 3 of the last preventative maintenance inspection for Hi-Rail vehicle 14800.

M metro

Washington Metropolitan Area Transit Authority
Maintenance and Material Management System
Work Order Details

Page 3 of 3 MX76PROD

Work Order #: 17075164 Type: CM



Status: CLOSE 06/15/2022 06:39

Work Description: DEFECTS FOUND ON PMI W/O 17006324

Job Plan Description:

Actual Mater	Actual Materials								
Task ID	Item	Assetnum	Description	Storeroom	Trans Date	Issue Unit	Quantity	Unit Cost	Line Cost
10	SV0130032		LAMP (SEE IMAGE), AMBER LED, 2-1/2" ROUND, M11300Y, MAXXIMA	145	05/25/2022	EA	3	\$9.42	\$28.26
10	SV0130032		LAMP (SEE IMAGE), AMBER LED, 2-1/2" ROUND, M11300Y, MAXXIMA	641	05/25/2022	EA	2	\$7.85	-\$15.71
50	SV0120352		BATTERY: 12 VOLT, STUD TYPE, HEAVY TRUCK, GROUP 31, 31MHD, CCA950	641	05/25/2022	EA	1	\$123.22	\$123.22

				otal Actual Materials:	\$130.77
Related Work O	rders				
wo	Description	Class	Class Status		•
17006324	BMNT,SVMT,HEAVY_TRUCK,PMI WORKORDER CLOSE		CLOSE	ORIGINATOR	
Failure Reportin	ng				
Cause	Remedy	Supervi	isor	Remark	Date
Remarks:					

Attachment 1: This is page 3 of 3 of the last preventative maintenance inspection for Hi-Rail vehicle 14800.

Incident Date: 4/11/2023 Time: 01:38 hours

Final Report - Derailment Rev. 1

E23242

Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023

Approved By: SAFE 71 – 06/14/2023

Type: SP

Washington Metropolitan Area Transit Authority Maintenance and Material Management System Work Order Details

MX76PROD

Status: CLOSE 06/02/2022 06:02

Work Description: BMNT/SVMT, GPS (Samsara) Installation, All Non-Revenue Vehicles Job Plan Description: BMNT/SVMT, GPS (Samsara) Installation, All Non-Revenue Vehicles

A new GPS Tracking system (Samsara) will be replacing the current 'FleetOutlook' system.

This new comprehensive tracking system will allow the Authority to collect various types of information on the condition, operation, and use of approximately 1,550 vehicles owned and/or operated by the Authority in accordance with the technical details.

Services vehicles within WMATA's fleet include approximately 1,550 motor vehicles with up to 7,000 drivers, that are operated from 30 different locations throughout WMATA's three jurisdictions, i.e. Washington DC, Maryland, and Virginia.

See Attached Samsara Data Install Sheet

Point of Contact -Work Information Asset: SV014800 SV014800, CRANE TRUCK OVER/UNDER Owning Office: BMNT-COO-RAIL , co Asset Tag: SV014800 Maintenance Office: BMNT-SVMT-CMTR Create Date: 04/22/2022 12:42 Asset S/N: 1HTSHAAR8XH659768 Actual Start: 05/24/2022 12:18 Crew: BMNTCONT Location: 1416 T38, CARMEN TURNER FACILITY Actual Comp: 06/02/2022 06:02 T38, CARMEN TURNER FACILITY, BUILDING (F) BUS2, 1ST FLOOR SERVICE VEHICLE SHOP Item: SVMTV0134 Work Location: 3612 Lead: E Failure Class: GL Account: WMATA-02-32380-50499070-041-*************-OPR** Target Start: 12/01/2021 00:00 Requested By: Requestor Phone: Target Comp: 09/01/2022 13:20 Scheduled Start: Create-Mileage: 23587.1 Complete-Mileage: 23637.4 Acquire vehicle asset data/Complete Wmata install data sheet INSTALLED SAMSARA Component: 000-100 SERVICE VEHICLE Work Accomp: UPDATED Reason: SOFTWARE Status: CLOSE Position: Warranty?: N Identify any existing telematics systems Reason: SOFTWARE Component: 000-100 SERVICE VEHICLE Work Accomp: UPDATED Status: CLOSE Position: Warranty?: N

Attachment 2: This is page 1 of 2 for the event recorder installation.

M

Washington Metropolitan Area Transit Authority Maintenance and Material Management System Work Order Details

Page 2 of 2 MX76PROD

Work Order #: 17010462 Type: SP

Status: CLOSE 06/02/2022 06:02

Work Description: BMNT/SVMT, GPS (Samsara) Installation, All Non-Revenue Vehicles Job Plan Description: BMNT/SVMT, GPS (Samsara) Installation, All Non-Revenue Vehicles

Task IDs									
Task ID									
30	Install Samsara Device & One Badge Reader								
Component: 000-100 SERVICE VEHICLE		Work Accomp: UPDATED		Reason: SO	Reason: SOFTWARE		E Position: Warranty?: N		anty?: N
40	Associate Device to Wmata Vehicle								
Component: 000-100 SERVICE VEHICLE		Work Accomp: UPDATED		Reason: SOFTWARE		Status: CLOSE	Position:	Warranty?: N	
50	Confirm System Operation and Check Sheet Entries								
Component: 000-200 BUS		Work Accomp: UPDATED		Reason: SO	Reason: SOFTWARE		Position: Warranty?: N		anty?: N
Planned Lab	or								
Task ID	Labor					Quantity	Hours	Rate	Line Cos
10						1	00:05	31.90	\$2.66
20						1	00:05	31.90	\$2.66
30						1	00:05	31.90	\$2.66
40						1	00:05	31.90	\$2.66
50						1	10:00	31.90	\$318.99
							Total Pi	lanned Labor:	\$329.62
Actual Labor									
Task ID	Labor	Start Date	End Date	Start Time	End Time	Approved?	Regular Hours	Premium Hours	Line Cos
10		06/01/2022	2 06/01/2022	06:30	08:30	Y	02:00	00:00	\$76.57
					Tota	l Actual Hour/Labor:	02:00	00:00	\$76.57
Failure Repo	rting								
Cause		Remedy		Supervisor			Remark Date		
Remarks	E								

Attachment 2: This is page 2 of 2 for the event recorder installation.

Incident Date: 4/11/2023 Time: 01:38 hours

Final Report - Derailment Rev. 1

E23242

Drafted By: SAFE 703 – 07/05/2023

Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023



1397

COMM OPS RADIO SYSTEM PROBLEM

Washington Metropolitan Area Transit Authority Maintenance and Material Management System Work Order Details

Page 1 of 1 MX76PROD

05/14/2023

Status: CLOSE 05/14/2023 23:16



Work Description: C11, Safety request Radio Operational test to cover Station and to cover CM 400+00 and 450+00 Tracks 1&2 Job Plan Description:

Work Information Asset: 60335 RADIO, CRCS, REMOTE SITE, T38 Owning Office: COMM-TSSM-RADO Parent: Asset Tag: Maintenance Office: COMM-TSSM-RADO Create Date: 05/11/2023 16:22 Asset S/N: CRCSRST38 Labor Group: COMMR3RADO Actual Start: 05/12/2023 20:15 T38, CARMEN TURNER FACILITY, BUILDING (G) SVMT BODY, 2ND FLOOR Actual Comp: 05/12/2023 20:15 Location: 3952 Crew: Item: N60040086 Lead: GL Account: WMATA-02-33540-50499280-042-****** Work Location: Failure Class: COMR003 RADIO COMMUNICATIONS SYSTEMS ******-OPR** Problem Code: 3669 COMMS FAILURE Supervisor: Target Start: Requested By: 55385 Requestor Phone: Target Comp: Scheduled Start: Complete-Mileage: 0.0 Create-Mileage: 0.0 Task IDs Task ID RODE TRAIN FROM C10 TO C12 AND BACK TO C10 MAKING RADIO CHECKS WITH UNIT 317 AND ALL RADIO CHECKS WERE LOUD AND CLEAR. Status: CLOSE Position: Warranty?: N Component: Regular Hours Approved? 10 05/12/2023 05/12/2023 19:00 22:00 03:00 00:00 \$139.80 10 05/12/2023 05/12/2023 19:00 22:00 03:00 00:00 \$143.93 Total Actual Hour/Labor: 06:00 00:00 \$283.73 Cause Remedy Supervisor Remark Date

Attachment 3: This is page 1 of 1 for the radio check that was performed between stations.

ALIGNED

1061

Remarks: RODE TRAIN FROM C10 TO C12 AND BACK TO C10 MAKING RADIO CHECKS WITH UNIT 317 AND ALL RADIO CHECKS WE

Incident Date: 4/11/2023 Time: 01:38 hours

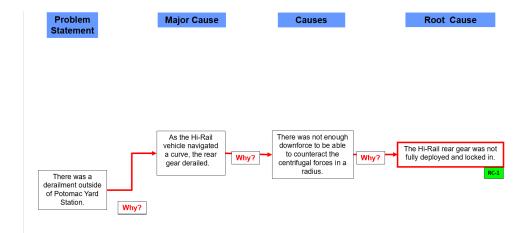
Final Report - Derailment Rev. 1

E23242

Drafted By: SAFE 703 – 07/05/2023 Reviewed By: SAFE 71 – 08/14/2023

Approved By: SAFE 71 – 06/14/2023

Appendix G - Why Tree



Root Cause Analysis



Incident Date: 4/11/2023 Time: 01:38 hours

Final Report - Derailment Rev. 1

E23242

SAFE 703 - 07/05/2023 Drafted By:

Reviewed By: SAFE 71 – 08/14/2023 Approved By: SAFE 71 – 08/17/2023